



PATENT

ATTORNEY DOCKET NO.: 041514-5105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)

Ikuya KIKUCHI et al.)

Application No.: 09/765,306)

Filed: January 22, 2001)

For: OPTICAL PICKUP APPARATUS AND)
INFORMATION RECORDING AND/OR)
REPRODUCING APPARATUS)

Confirmation No.: 8572

Group Art Unit: 2653

Examiner: K. Chu

ATTN: Mail Stop RCE

Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop RCE
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

1. Submission required under 37 C.F.R. § 1.114

- ☐ Previously submitted:
- ☐ Please consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on ____ (Any unentered amendment(s) referred to above will be entered).
- ☐ Please consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
- ☒ Enclosed:
- ☐ Supplemental Reply
- ☒ Other: Preliminary Amendment Filed with RCE Under 37 C.F.R. § 1.114

2. Miscellaneous

- ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)

11/16/2004 AWONDAF1 00000092 500310 09765306

01 FC:1801 790.00 DA
02 FC:1252 1-WA/4386003 DA

3. Fees Calculation

(a) RCE Fee required under 37 C.F.R. § 1.17(e)

- ☒ \$790.00
☐ \$395.00 (for small entity)

(b) Additional Claims

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. §1.16(c))	8	minus	20	0	x \$18 each =	+ \$00.00
Independent Claims (37 C.F.R. §1.16(b))	1	minus	3	0	x \$86 each =	+ \$00.00
[] First presentation of Multiple dependent claim(s)					\$290.00	+ \$00.00
SUB-TOTAL =						\$00.00
Reduction by ½ for filing by a small entity						- \$00.00
TOTAL FEE =						\$00.00

(c) Extension of Time

- ☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that Applicants have inadvertently overlooked the need for a petition and fee for extension of time.
- ☒ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below.

Total Months Requested	Fee for Extension	Fee for Small Entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input checked="" type="checkbox"/> two months	\$ 430.00	\$215.00
<input type="checkbox"/> three months	\$ 980.00	\$490.00
<input type="checkbox"/> four months	\$1,530.00	\$765.00

The extension of time fee due with this request is: \$430.00. An extension of two months is required because a Notice of Appeal was filed on July 15, 2004. If an additional extension of time is required, please consider this a Petition therefor.


4. Fee Payment

- ☐ Enclosed is a check in the amount of \$_____.
- ☒ The Commissioner is hereby authorized to charge the Deposit Account No. 50-0310 in the amount of **\$1,220.00** (the RCE filing fee of \$790.00 and the two-month extension of time fee of \$430.00).
- ☒ **EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

MORGAN LEWIS & BOCKIUS LLP

Dated: November 12, 2004

By: 
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